## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE UNDER 37 C.F.R. §1.8(a)

	ocuments enclosed herein are being deposited with the U	
Postal Service on this 6th day of Kbuay, 2004 in an envelope as first class mail addressed		
to: Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450.		
Michelle Ludwig	The state of the s	
In re application of:	)	•• • •
Seale et al.	)	
	) Examiner: Unknown	
Serial No.: 10/707,039	)	
	) Group Art Unit: Unknown	
Filed: November 17, 2003	)	
	) Conf. No. Unknown	
For: Amino Acid Sequence Va	ariant Alfalfa )	
Antifungal Protein and Its	s Use in Plant Disease )	
Control	)	•
Commissioner for Patents		
P.O. Box 1450		
Alexandria, Virginia 22313-1450	)	

### TRANSMITTAL LETTER

Transmitted herewith are the following documents in the above-identified application.

- X Transmittal of Information Disclosure Statement within three Months of Filing or Before Mailing of First Office Action (37 C.F. R. §1.97(b))
- X PTO Form 1449
- \_X\_ Four (4) References
- X If an extension or an additional extension of time is required, but is not enclosed, please consider this a conditional petition therefor and charge Deposit Account 13-4125 accordingly.
  - X No fee is believed required with this filing.
- X Please charge any deficiencies or credit any overpayment to Deposit Account 13-4125. A duplicate copy of this sheet is attached.

Respectfully submitted,

Timothy K. Ball, Ph.D., Esq.

Reg. No. 42,287

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

Seale et al.

Application No.:

10/707,039

Filed:

November 17, 2003

Group No.: Unknown Examiner: Unknown

For:

Amino Acid Sequence Variant Alfalfa Antifungal Protein and Its Use in Plant

Disease Control

**Commissioner for Patents** P.O. Box 1450 Alexandria, Virginia 22313-1450

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR **BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. section 1.97(b))**

## IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

#### CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING** 

**FACSIMILE** 

X

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

<u> February 6,</u> 2004

transmitted by facsimile to the Patent and Trademark Office.

Michelle Ludwig

(type or print name of person certifying)

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action--page

# Practitioner's Docket No. 38-21(15546)A

Date: Feb 6, Zory

Rég. No.: 42,287

Tel. No.: 314-694-5811 Customer No.: 27161 Signature of Practitioner

Timothy K. Ball, Ph.D., Esq.

Page 1 of 1 ATTY. DOCKET NO. 38-21(15546)A **APPLICATION NO. 10/707,039** FORM PTO-1449 APPLICANT Seale, et al. ATION DISCLOSURE STATEMENT FEB 0 9 2004 FILING DATE November 17, 2003 GROUP To be assigned U.S. PATENT DOCUMENTS EXAMINER: **DOCUMENT** SUB-**NUMBER** DATE NAME **CLASS CLASS** FILING DATE 6,121,436 9/19/2000 Liang et al. AA 6,316,407 11/13/2001. Liang et al. ΑB 6,329,504 12/11/2001 Liang et al. AC FOREIGN PATENT DOCUMENTS EXAMINER **DOCUMENT** SUB-INITIAL NUMBER DATE **COUNTRY CLASS CLASS** TRANSLATION Yes AD No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) DeSamblanx et al., Mutational Analysis of a Plant Defensin from Radish (Raphanus sativus L.) Reveals Two ΑE Adjacent Sites Important for Antifungal Activity, Journal of Biological Chemistry 272:1171-1179 (1997)

**EXAMINER**: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

**EXAMINER** 

DATE CONSIDERED